



3731

PC401.01:DAC:187052

TRANSMITTAL FORM

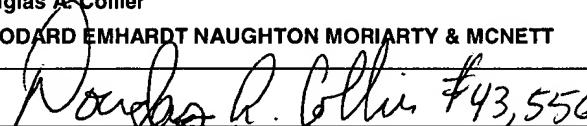
(to be used for all correspondence after initial filing)

Application Number	09/694,521
Filing Date	October 23, 2000
First Named Inventor	George Frey
Group Art Unit	3731
Examiner Name	
Total Number of Pages in this Submission	
Attorney Docket Number	4002-2512
	RECEIVED OCT 18 2002 TECHNOLOGY CENTER 20700

ENCLOSURES (check all that apply)

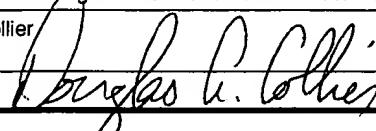
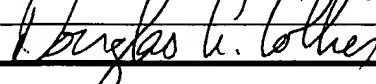
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> To Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address	<input type="checkbox"/> Additional Enclosure (please identify below)
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Return Receipt Postcard
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	<input checked="" type="checkbox"/> Information Discl. Citation
<input type="checkbox"/> Certified Copy of Priority Documents	<input type="checkbox"/> Request for Refund	<input checked="" type="checkbox"/> 7 cited refs.
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

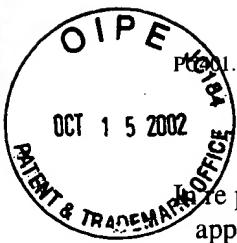
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	Douglas A. Collier WOODARD EMHARDT NAUGHTON MORIARTY & MCNETT
Signature	
Date	October 11, 2002

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington D.C. 20231 on this date: October 11, 2002

Typed or printed name	Douglas A. Collier		
Signature		Date	October 11, 2002



PL01.01:DAC:187015

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

HLB
10/30/02

the patent
application of:
George Frey et al.
Serial No. 09/694,521
Filed October 23, 2000
DEVICES AND TECHNIQUES FOR A
POSTERIOR LATERAL DISC SPACE
APPROACH

) Before the Examiner
)
) Group Art Unit 3731
)
) October 11, 2002

RECEIVED
OCT 18 2002

TECHNOLOGY CENTER R3700

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Pursuant to the duty of disclosure embodied in 37 C.F.R. § 1.56 and 1.98, Applicant discloses the following references on the attached Information Disclosure Citation Form. It is believed that this information has not been previously submitted in this application by the applicants.

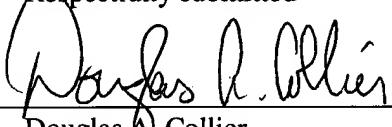
The filing of this information Disclosure Statement shall not be construed as an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56 or that any information cited is prior art to the present invention.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on October 11, 2002.

Douglas A. Collier
Name of Registered Representative
Douglas A. Collier
Signature

Oct. 11 2002
Date of Signature

This Statement is being submitted before the mailing of a First Office Action on the merits, therefore no fees are believed due for consideration of the enclosed references. The Commissioner is authorized to charge any additional fees or credit any overpayment to Deposit Account No. 23-3030, but not to include any payment of issue fees.

Respectfully submitted
By: 
Douglas A. Collier
Reg. No. 43,556
Woodard, Emhardt, Naughton,
Moriarty & McNett
Bank One Center/Tower
111 Monument Circle, Suite 3700
Indianapolis, Indiana 46204-5137
(317) 634-3456